



**CHEROKEE TRIBAL GAMING COMMISSION**  
**APPLICATION FOR AN EXEMPT VENDOR LICENSE**

Solicitation of the information on this form is authorized by 25 U.S.C. 2701 *et seq.* the purpose of the requested information is to determine the eligibility of individuals to be employed or badged in a gaming operation. The information will be used by the Commission, the State of North Carolina and the National Indian Gaming Commission members and staff who have need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, Tribal, State, Local or foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe or the National Indian Gaming Commission in connection with the issuance or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation.

***Please type or print in Black Ink:***

- ◆ Additional documentation and explanation sheets should be attached as necessary to clarify and answer.
  - ◆ You must complete, sign the application, and initial where indicated on the bottom of each page.
  - ◆ Failure to complete all information and requirements will cause delays and/or denial of your application.
1. Company Name \_\_\_\_\_
  2. Company Complete Mailing Address: \_\_\_\_\_  
\_\_\_\_\_
  3. Company Complete Physical Address: \_\_\_\_\_  
\_\_\_\_\_
  4. Federal Tax ID Number: \_\_\_\_\_ (If no tax ID#, Provide Social Security/Insurance Number)
  5. Business Phone # \_\_\_\_\_ Business Cell # \_\_\_\_\_  
Contact Person and Title \_\_\_\_\_  
Contact Email Address \_\_\_\_\_
  6. Type of exemption status applied for: \_\_\_\_\_
  7. Description of Goods or Services Providing: \_\_\_\_\_  
\_\_\_\_\_
  8. Number of employees who will be on gaming property to provide goods or services: \_\_\_\_\_

**PLEASE ANSWER EACH QUESTION THOROUGHLY:**

9. List (continuation on another sheet, if needed) any criminal record(s) or any delinquent taxes owed to the State or Federal Government by any person involved in management or ownership in the company, if applicable: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Initials \_\_\_\_\_

10. Has the company ever had a gaming or business license denied, suspended, or revoked (continue on another sheet, if needed)?

NO: \_\_\_\_\_ YES: \_\_\_\_\_ Please explain location and reason: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11. Has any person of the company ever been charged or convicted with any gaming offense or felony, within the past 10 years, or any other crime for which there is an on-going prosecution, fine, parole, or probation?

(Circle One) Yes No

If Yes, list below:

Name and Address of Court	Charge	Date of Charge	Disposition
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<u>Court</u>	_____	_____	_____
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<u>Charge</u>	_____	_____	_____
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<u>Date of Charge</u>	_____	_____	_____
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<u>Disposition</u>	_____	_____	_____
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\_\_\_\_\_  
Individual Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Individual (Print Name and Title)

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Witness (Print Name)

\_\_\_\_\_  
TGC License Officer Signature

\_\_\_\_\_  
Date Received

\_\_\_\_\_  
TGC License Officer (Print Name)

Approved or Denied (Circle one)

\_\_\_\_\_  
Signature and Determination Date

Initials \_\_\_\_\_

# **CHEROKEE TRIBAL GAMING COMMISSION** **PERSONAL HISTORY FORM INSTRUCTIONS**

Hand print or type an answer to every question. If a question does not apply to you, state so with N/A. If space available is insufficient, use a separate sheet and precede each answer with the appropriate title. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. Applicant must initial each page, as provided in the lower right hand corner. By placing his/her initial on each page, the applicant is attesting to the accuracy and completeness of the information contained on that page.

All applicants are advised that this Personal History Information is an official document and misrepresentation or failure to reveal information requested may be deemed to be sufficient cause for the refusal or revocation of a license. Cherokee Code at § 16-5.02 standards for background investigations sets forth protections for all applicants on their personal and confidential information. Also, applicants are protected by the Privacy Act Statement on the following page.

Failure to fully complete this application may result in the application not being processed, may result in the application being returned to the applicant for completion, or may result in denial of a license.

## **PERSONAL HISTORY RECORD** ***(Please Type or Print in Dark Ink)***

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	First	Middle	Last
NAME OF APPLICANT:	_____		
HOME MAILING ADDRESS:	_____		
HOME STREET ADDRESS:	_____		
CITY/STATE/ZIP:	_____ / _____ / _____		
OTHER NAMES:	_____		
	(Alias, Nicknames, Maiden Name, Other name changes, Legal or Otherwise)		
WORK PHONE:	( ) _____	HOME PHONE:	( ) _____
DATE OF BIRTH:	_____ / _____ / _____		
	Month	Day	Year
PLACE OF BIRTH:	_____ / _____ / _____		
	City	County	State
CITIZENSHIP:	_____		
IF NATURALIZED:	_____ / _____ / _____		
	Month	Day	Year
SOCIAL SECURITY NUMBER:	_____ - _____ - _____		
DRIVER'S LICENSE NUMBER:	_____	STATE ISSUED:	_____

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**POSITION WITH BUSINESS:**

**Owner    Shareholder/Owner    Partner    Manager    Officer    Director    Other**

**CHEROKEE TRIBAL GAMING COMMISSION**  
**AUTHORIZATION FOR EXAMINATION AND RELEASE OF INFORMATION**

I \_\_\_\_\_ do hereby authorize a review, full disclosure and release for any and all records concerning myself to any duly authorized officer, agent, or employee of the Cherokee Tribal Gaming Commission, whether the records are of public, private, or confidential nature with the following understandings:

1. The information reviewed, disclosed, or released may be used by the Cherokee Tribal Gaming Commission to determine whether to approve a license to myself and if applicable this company: \_\_\_\_\_
2. In compliance with the Privacy Act of 1974, the following information is provided: Solicitation of the information on this form is authorized by 25 U.S.C. 2017 *et seq.* The purpose of the requested information is to determine the eligibility of the individuals to be granted a gaming license. The information will be used by the Tribal gaming regulatory authorities and by the National Indian Gaming Commission members and staff who have need for the information in the performance of their official duties. The information may be disclosed to appropriate Federal, Tribal, State, local, or foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions when pursuant to a requirement by a tribe or the National Indian Gaming Commission in connection with the issuance, denial, or revocation of a gaming license, or investigation of activities while associated with a tribe or a gaming operation. Failure to consent to the discloser indicated in this notice will result in a tribe's being unable to license you. **A false statement on any part of your license application may be grounds for denying a license or the suspension or revocation of a license. Also you may be punished by fine or imprisonment (U.S. Code, title 18, section 1001).**
3. I understand that by signing this authorization, a financial record check will be performed. I authorize any financial institution to surrender to the Cherokee Tribal Gaming Commission a complete and accurate record of such transactions that may have occurred with the institution, not limited to, but to include, internal banking memoranda, past and present loan applications, financial statements and any other documents relating to the applicant's personal or business financial records in whatever form and wherever located.
4. If this authorization is not sufficient to obtain access to certain records, it is understood that I may be requested to execute some other appropriate authorization or release, and that any failure to do so may be taken into consideration by the Cherokee Tribal Gaming Commission in its review of the license application.
5. A photocopy of the authorization will have the same force and effect as the original.
6. I, \_\_\_\_\_, being duly sworn, depose and say that the above statement and supporting schedules are true and correct to the best of my knowledge and belief and that these statements and schedules are executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to issue a gaming license by the Cherokee Tribal Gaming Commission. Further, that I am aware that later discovery of an omission or misrepresentation made in the above statements may be grounds for the revocation of a gaming license. I further state that I am voluntarily submitting this application to the Cherokee Tribal Gaming Commission under oath with full knowledge that I may be charged with perjury or other crimes for intentional misrepresentations pursuant to Federal or State Laws.

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Applicant's Name (Print)

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

SEAL